



Attorney's Docket No. 42P11429

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Liu et al

Application No.: 09/881,218

Filed: June 13, 2001

For: METHOD AND APPARATUS FOR  
TUNING A BRAGG GRATING IN A  
SEMICONDUCTOR SUBSTRATE

Examiner: Pritchett, Joshua L.

Art Unit: 2872

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Respectfully submitted,

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Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application Number	09/881,218
Filing Date	June 13, 2001
First Named Inventor:	Liu et al.
Art Unit	2872
Examiner Name	Pritchett, Joshua L.
Attorney Docket Number	42P11429

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
	1.	US-	5,446,809	08/29/1995	Fritz et al.	
	2.	US-	Re. 35,516	05/20/1997	Henry et al.	
	3.	US-	6,011,881	01/04/2000	Moslehi et al.	
	4.	US-	6,061,481	05/09/2000	Heidrich et al.	
	5.	US-	6,172,791 B1	01/09/2001	Gill et al.	
	6.	US-	6,330,255 B1	12/11/2001	Hung	
	7.	US-	2002/0113966 A1	08/22/2002	Shchegrov et al.	
	8.	US-	2002/0197011 A1	12/26/2002	Liu et al.	
	9.	US-	2003/0021305 A1	01/30/2003	Lundqvist	
	10.	US-	2003/0025976 A1	02/06/2003	Wipiejewski	
	11.	US-	2003/0086655 A1	05/08/2003	Deacon	
	12.	US-	2003/0091086 A1	05/15/2003	Sahara et al.	
	13.	US-	2003/0099018 A1	05/29/2003	Singh et al.	
		US-				
		US-				

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	14.	EP	1094574 A1	04/25/2001	Sarlet et al.		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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